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CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted to Group An Unit 2834, 703-872-8306, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Date: December 18, 2003

36856 478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takashi IWAMOTO et al.

Serial No.: 09/847,989

Filed: May 3, 2001

Title: SURFACE ACOUSTIC WAVE DEVICE

Art Unit: 2834

Examiner: P Cuevas

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENT P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three references cited in the enclosed examination report issued in a corresponding European patent application. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine U.S. Serial No. 09/847,989 December 18, 2003 Page 2 of 2

otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant(s) respectfully request(s) that the disclosed reference(s) be made of record in the subject application.

Respectfully submitted,

Date: December 18, 2003

Attorneys for Applicant(s)

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PTO/SB/08A (04-03)

Substitute for form 1449/PTO	Complete if Known		
***************************************	Application Number	09/847,989	
INFORMATION DISCLOSURE	Filing Date	May 3, 2001	
	First Named Inventor	Takashi IWAMOTO	
STATEMENT BY APPLICANT	Art Unit	2834	
(Use as many sheets as necessary)	Examiner Name	P. Cuevas	
Sheet 1 of 1	Attorney Docket Number	36856.478	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2(f known)}	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

xaminer nitials*	Cite No.1	Foreign Patent Document Country Code Number-Kind Code (if known)	Publication Date MM -yyyy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
	1	JP 62-168410	07/1987	JAPAN	ABSTRACT ONLY	X
	2	JP 10-247835	09/1998	JAPAN	ABSTRACT ONLY	X
	3	JP 11-234082	08/1999	JAPAN	ABSTRACT ONLY	Х

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Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

[•] Applicant unique citation designation number (optionals). See Kind Codes of USPTO Patent documents at www.usptib.gov of MFEP 90.1.04, * Enter Office hate issues the document by the two-letter code (WIPO Standards 73.3). • For Japansee patent documents, be indication of hear of the reign of the Emperor must precede the skrief number of the patent document. • Kind of document to the patent patent patent and the patent pate



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European Patent Office Branch at The Hague Search Office européen des brevets Département à La Haye Division de la

Thévenet, Jean-Bruno Cabinet Beau de Loménie 158, rue de l'Université 75340 Paris Cédex 07 FRANCE

ORDRE REÇU 27.10.03 12788 Cabinet Beau de Loménie

28.10.03

Zeichen/Ref./Ref. J136120~362EPO/ Anmeklung Nr / Application No / Demando n° / Patent Nr / Patent No / Brevet n° .
01401315 . 5-2215-

Anmekler/Applicant/Demzindeur/Patentinhaber/Proprietor/Titulaire Murata Manufacturing Co., Ltd.

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

- If applicable, copies of the documents cited in the European search report are attached.
- Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

(X) abstract

IXI title

The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

2



If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.



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EUROPEAN SEARCH REPORT

Application Number EP 01 40 1315

	DOCUMENTS CONSI	DERED TO BE RELEVANT		
Category	Citation of document with of relevant par	Indication, where appropriate, ssages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
Y	PATENT ABSTRACTS 0 vol. 012, no. 007 9 January 1988 (19 8 JP 62 168410 A (24 July 1987 (1987	(E-571), 88-01-09) VICTOR CO OF JAPAN LTD),	1,3,4	H03H3/08 H03H9/145
	* abstract *	0, 2,,	5-11,13, 14	
	PATENT ABSTRACTS 0 vol. 1998, no. 14, 31 December 1998 (& JP 10 247835 A (LTD), 14 September * abstract *	1998-12-31) KOKUSAI ELECTRIC CO	1,3,4	
	PATENT ABSTRACTS OF vol. 1999, no. 13, 30 November 1999 (1 & JP 11 234082 A (1 27 August 1999 (199 * abstract *	999-11-30) OKO INC),	1-4,7	TECHNICAL FIELDS SEARCHED (Int.C1.7)
			ē	
	V	340	-	
	The present search report has I	een drawn up for all claims		
	Place of search	Date of completion of the search	T	Examinor
T	THE HAGUE	16 October 2003	Copp	ieters, C
X : particu Y : particu docum A : techno O : non-w	regory of cited documents starty relevant if taken alone starty relevant if combined with another of the same category logical background written disclosura ediale document	T: theory or principle E: earlier patent docu- after the filing date O: document clied in L: document clied for E: member of the san document	the application other reasons	

ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 01 40 1315

This amex lists the patent family membersrelating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP rite on The European Patent Office is in no way kebb for those particulars which are merely given for the purpose of information.

16-10-2003

	Patent documer cited in search rep	nt port	Publication date		Patent family member(s)	1	Publication date
JP	62168410	А	24-07-1987	NONE			
JP	10247835	A	14-09-1998	NONE			
JP	11234082	A	27-08-1999	NONE			

Security of the European Patent Office, No. 12/82